

**Existing references to sub-national and local governments  
in the non-papers of the AWG-LCA  
NOVEMBER 9, 2009**

**Non-Paper 52 on shared vision**

9. Realizing that harmonizing sustainable development while addressing climate change and demands for a more equitable utilization of the global atmospheric resource necessitate a paradigm shift that adjusts global economic growth patterns towards a sustainable climate resilient development, based on innovative technologies and more sustainable production and consumption, while ensuring a just transition of the workforce which creates decent work and quality jobs, and seeking the **active participation of all stakeholders**.

**Non Paper 41 included in Non Paper 53 on Adaptation**

2. International cooperation shall be enhanced, and to this end a comprehensive adaptation [framework] [programme] is hereby established:

(a) To guide and facilitate the implementation of adaptation actions in all countries and at **all levels**, including through enhanced knowledge and information sharing;

3. The implementation of the adaptation [framework] [programme] [shall] [should]:

(e) **Involve all relevant stakeholders at all levels** through a participatory and gender-sensitive approach to ensure ownership and inclusiveness.

14. Institutional arrangements under the Convention [shall] [should] enhance the implementation of the adaptation [framework] [programme], including through promoting exchange of knowledge, information, lessons learned and best practices undertaken by Parties and **relevant organizations and stakeholders**; providing guidance, expertise and assistance in planning and implementing adaptation action at **all levels**; ensuring the provision of means of implementation, including finance, technology and capacity-building, to developing country Parties and providing a forum to engage **broad range of stakeholders**, relevant organizations and networks in adaptation action.

**Annex III**

**Centres for adaptation Indicative functions for regional centers**

(b) Engaging science and policy communities in dialogues with a view to promoting informed decision-making at **all levels**, especially between countries with shared natural resources, taking gender considerations into account;

(d) Generating and sharing knowledge; exchanging lessons learned and best practices; collecting, analysing and disseminating information on past and current practical adaptation actions and measures, including projects, short- and long-term strategies, and **local** and indigenous knowledge;

(g) Enhancing capacity of **stakeholders** at the national level through targeted training and education programmes and institutions;

**Annex IV**

**[Adaptation committee] [Subsidiary body for adaptation] under the Convention Indicative detailed functions**

- (b) Strengthening, consolidating and enhancing the sharing of information, knowledge, experience and good practices, at local, national, regional and international levels, consistent with relevant international agreements, through creating forums where different public and private stakeholders can discuss concrete challenges;
- (c) Encouraging regional and international organizations and institutions to enhance adaptation action, including through funding adaptation activities, strategies, programmes and priorities and integrating adaptation into local, national and regional development plans;
- (d) (d) Enabling and supporting the creation of partnerships between a range of stakeholders in developing and developed country Parties with a view to enhancing the development and transfer of technologies for adaptation and implementation of adaptation action;

## NON-PAPER 28 ON MITIGATION

### Annex I Appendix

8. In conducting its work, the expert panel may also:

- (c) Seek and receive information from other Parties, stakeholders or other sources as relevant to the Party concerned.

## Non Paper 39 on mitigation – REDD

### *Principles - safeguards*

4. When undertaking actions referred to in paragraph 2 above Parties shall:

- (e) In accordance with relevant international agreements[, such as the United Nations Declaration on the Rights of Indigenous Peoples,] and taking into account national circumstances and legislation, respect the knowledge and rights of indigenous peoples[, including their free, prior and informed consent,] and members of local communities and promote the full and effective participation of all relevant stakeholders in actions referred to in paragraphs 2 and 5 above;

5. Developing country Parties aiming to undertake actions referred to in paragraph 2 above shall develop:

- (a) A national [action plan] [strategy] [or subnational strategies] [, as part of their low-carbon emission strategies,] in accordance with national circumstances;
- (c) A robust and transparent national monitoring and reporting system for emissions and removals in the forestry sector [in accordance with national circumstances and capabilities] [,with the establishment of subnational accounting as an optional interim measure].

When developing a national [action plan] [strategy] [or subnational strategies], as referred to in sub-paragraph (a) above, Parties [shall][should] take into account the guidelines and modalities to be adopted by the COP on this matter.

## Non Paper 42 on mitigation - cost effectiveness

Option 2 (paragraphs 7.8):

7. Various approaches shall ensure:

- (h) Promotion of co-benefits, particularly those that:
  - (i) Respect and promote local sustainable communities;

- (ii) Fully respect the rights of Indigenous Peoples and local communities;
- (iii) Ensure local communities have the right to participate in the design, implementation and monitoring of such mechanisms that impact them, including procedural rights of redress;
- (i) Technology that is in accordance with the objectives, provisions and principles of the Convention, and which is nationally and locally appropriate;

## **Non Paper 54 finance**

### **3. Principles**

#### **5. [Chapeau]**

**Alternative 2:** The financial architecture to enhance the coherence and effectiveness of multilateral climate change support [, enabled by this agreement,] should be guided by the following principles:

**(f) Alternative 2:** All developing country Parties are eligible to access financial resources, in accordance with the objectives and provisions under this Agreement, with special attention given to the adaptation needs of the most vulnerable countries, especially those identified in paragraph 1 (c) (i) of the Bali Action Plan (decision 13/CP.1), and particularly vulnerable populations, groups and communities by supporting adaptation at local and national levels;

**12. quater** To ensure transparent and efficient governance, a consultative/advisory group of all relevant stakeholders, and an independent assessment panel, may likewise be appointed;

## **Annex 1**

**8.** The fund shall meet the full costs of activities such as technology R&D and demonstration of technology, capacity-building, technology needs assessments, know-how and information, and construction of policy instruments.

#### **Alternative 2:**

The fund shall be used as a catalyst to provide stakeholders with incentives to implement the development, deployment, diffusion and transfer of technologies by meeting the full incremental costs of, inter alia, the deployment and diffusion of technologies in developing countries.

**12.** To ensure transparent and efficient governance, other possible components of the structure shall include representatives of all participant countries, contributors and beneficiaries, grouped in a balanced manner, a consultative/advisory group all relevant stakeholders and an independent assessment panel.

**13.** Developing country Parties [shall][should] establish and/or strengthen national coordinating bodies to address all aspects of the means of implementation, including strengthening the institutional capacity of national focal points and all stakeholders, including sub national and local governments.

**18.** The designated national funding entities could also accept contributions directly, as per guidelines agreed by the COP, from and facilitate linkages with other private or national, regional, subregional, international bodies and/or stakeholders that may

seek to implement actions relating to mitigation, adaptation and technology transfer and related activities directly.

## **Non paper 47 on technology**

### **Option 2 for par.2 to 5:**

**4. alt.3 bis** The Climate Technology Network will have the following functions:

(b) Provide advice and support to Parties and their **domestic stakeholders** for the identification of needs and implementation of responsive technologies, practices and processes, and opportunities for technology cooperation to support mitigation and adaptation actions that further implementation of the Convention;

**13.** [All Parties are encouraged to:

(g) Establish voluntary technology [agreements][arrangements] and partnerships with intergovernmental organisations, the private-sector, academia, civil society organizations and **local and sub-national governments** for enhancing cooperative action amongst Parties on adaptation and mitigation by developing countries. Those [agreements] [arrangements] could include cooperative research and development, large-scale demonstration and deployment projects on key technologies for mitigation and adaptation, cooperation on specific sectors or greenhouse gases, and cooperation on climate observation and warning systems for enhancing resilience, consistent with Article 11, paragraph 5 of the Convention. Parties should report in their national communications on the voluntary technology [agreements][arrangements] and the related financial support of such [agreements][arrangements] and partnerships;

## **Appendix**

### **Option 2**

**3.** Technology action plans shall be informed and supported by comprehensive global technology road maps that provide an overview of what needs to be done to promote wider and more rapid development and deployment of key technologies, and which shall identify:

**b)** **Key stakeholders** and relevant existing and emerging efforts contributing to the development of the technology;

### **Option 3**

**3.** The international technology action plan will be based upon a regular assessment of global technology needs for long term action on climate change with the aim of accelerating short-, medium-, and long-term action across all stages of the technology development cycle, including research, development, demonstration, diffusion, transfer and use of existing and new environmentally safe and sound technologies, in order to achieve the ultimate objective of the Convention and support action on mitigation and adaptation and to promote a shift to sustainable development paths. The Plan shall specify actions for an initial period of three years and be regularly updated every successive three years. It will:

**(a)** Assess the steps required to accelerate research, development, demonstration, diffusion and transfer of environmentally sound technologies for mitigation and adaptation, through developing a comprehensive overview of what needs to be done to promote wider and more rapid development and deployment of key technologies, and which shall identify:

**(ii)** **Key stakeholders** and relevant existing and emerging efforts contributing to the development of these technology;

### Elements of the Climate Technology Hub and Corps

2. A Climate Technology Corps comprised of and supported by technology experts and practitioners that will undertake the following functions on a country-specific basis:

(a) Provide advice and support to Parties and their **domestic stakeholders** for the identification of needs and implementation of responsive technologies, practices and processes, and opportunities for technology cooperation to support mitigation and adaptation actions that further implementation of the Convention;

### **Non Paper 46 on capacity building**

#### **[2. Scope of enhanced action on capacity-building**

3. Capacity-building actions [shall] [should] be enhanced in order:

(d) To strengthen **subnational**, national or regional capacities, skills, capabilities and institutions to address emerging capacity-building needs, particularly those related to the enhanced implementation of the Convention.]

#### ***Options for the list of action***

##### ***Option 1***

(a) [[Empowering] [and] [strengthening] relevant institutions, including focal points and national coordinating bodies and organizations [in order to undertake enhanced] [functions and processes], at **various levels** [, taking into account the need for stand-alone capacity-building activities at **various levels**,] and strengthening endogenous capacities, skills and capabilities to contribute to the full, effective and sustained implementation of the Convention;

(e) Strengthening climate change communication, education, training and [public awareness] at **all levels, including at the local and community levels**, taking into account gender issues;

(f) Encouraging and strengthening participatory and integrated approaches[, including the meaningful **participation of various stakeholders**,] taking climate change considerations into account to the extent feasible in relevant social, economic and environmental policies and actions;